

1. DATE ISSUED MM/DD/YYYY 06/13/2020		1a. SUPERSEDES AWARD NOTICE dated except that any additions or restrictions previously imposed remain in effect unless specifically rescinded	
2. CFDA No. 93.136 - Injury Prevention and Control Research and State and Community Based Programs			
3. ASSISTANCE TYPE Cooperative Agreement			
4. GRANT NO. 5 NU17CE924853-05-00 Formerly		5. TYPE OF AWARD Other	
4a. FAIN NU17CE924853		5a. ACTION TYPE Non-Competing Continuation	
6. PROJECT PERIOD MM/DD/YYYY From 09/01/2016		Through 08/31/2021	
7. BUDGET PERIOD MM/DD/YYYY From 09/01/2020		Through 08/31/2021	

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

2939 Brandywine Road  
Atlanta, GA 30341

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)  
SEC 301,317,&391A 42USC241,247B&280B-B3

8. TITLE OF PROJECT (OR PROGRAM)  
Missouri Collecting Violent Death Information Using National Violent Death Reporting System (NVDRS)

9a. GRANTEE NAME AND ADDRESS HEALTH AND SENIOR SERVICES, MISSOURI DEPARTMENT OF 920 Wildwood Dr -DUP3 Jefferson City, MO 65109-5796	9b. GRANTEE PROJECT DIRECTOR Venkata Garikapaty P.O. Box 570 Jefferson City, MO 65102-0570 Phone: 573-526-0452
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10a. GRANTEE AUTHORIZING OFFICIAL Ms. Marcia Mahaney 920 Wildwood Dr Jefferson City, MO 65109-5796 Phone: 573-751-6014	10b. FEDERAL PROJECT OFFICER Divonna M LaLand 4770 Buford Hwy DVP SB Atlanta, GA 30341 Phone: 770-488-4244
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ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)		12. AWARD COMPUTATION	
I Financial Assistance from the Federal Awarding Agency Only		a. Amount of Federal Financial Assistance (from item 11m) 357,064.00	
II Total project costs including grant funds and all other financial participation		b. Less Unobligated Balance From Prior Budget Periods 0.00	
a. Salaries and Wages	121,763.00	c. Less Cumulative Prior Award(s) This Budget Period 0.00	
b. Fringe Benefits	73,058.00	d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION 357,064.00	
c. Total Personnel Costs	194,821.00	13. Total Federal Funds Awarded to Date for Project Period 1,635,546.00	
d. Equipment	0.00	14. RECOMMENDED FUTURE SUPPORT	
e. Supplies	1,226.00	(Subject to the availability of funds and satisfactory progress of the project):	
f. Travel	4,580.00	YEAR	TOTAL DIRECT COSTS
g. Construction	0.00	a. 6	d. 9
h. Other	6,548.00	b. 7	e. 10
i. Contractual	110,730.00	c. 8	f. 11
j. TOTAL DIRECT COSTS	317,905.00	15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:	
k. INDIRECT COSTS	39,159.00	a. DEDUCTION	
l. TOTAL APPROVED BUDGET	357,064.00	b. ADDITIONAL COSTS	
m. Federal Share	357,064.00	c. MATCHING	
n. Non-Federal Share	0.00	d. OTHER RESEARCH (Add / Deduct Option)	
		e. OTHER (See REMARKS)	
REMARKS (Other Terms and Conditions Attached - <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No)		16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:	
		a. The grant program legislation.	
		b. The grant program regulations.	
		c. This award notice including terms and conditions, if any, noted below under REMARKS.	
		d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.	
		In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.	

GRANTS MANAGEMENT OFFICIAL:

Valencia Williams, Lead Grant Management Specialist  
1600 Clifton Rd  
Atlanta, GA 30333  
Phone: 404.498.3260

17. OBJ CLASS 41.51	18a. VENDOR CODE	18b. EIN	19. DUNS 878092600	20. CONG. DIST. 03
FY-ACCOUNT NO.	DOCUMENT NO.	CFDA	ADMINISTRATIVE CODE	AMT ACTION FIN ASST
21. a. 6-939ZSPT	b. 16CE924853	c. 93.136	d. CE	e. \$0.00
22. a. 7-939ZSPT	b. 16CE924853	c. 93.136	d. CE	e. \$0.00
23. a. 8-939ZSPT	b. 16CE924853	c. 93.136	d. CE	e. \$0.00
				f. APPROPRIATION
				f. 75-16-0952
				f. 75-17-0952
				f. 75-18-0952

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 3	DATE ISSUED 06/13/2020
GRANT NO. 5 NU17CE924853-05-00	

FY-ACCOUNT NO.	DOCUMENT NO.	CFDA	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
24.a. 9-939ZSPT	b. 16CE924853	c. 93.136	d. CE	e. \$0.00	f. 75-19-0952
25.a. 0-939ZSPT	b. 16CE924853	c. 93.136	d. CE	e. \$357,064.00	f. 75-20-0952

**Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
<b>Total</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

NOTICE OF AWARD (Continuation Sheet)

PAGE 3 of 3	DATE ISSUED 06/13/2020
GRANT NO. 5 NU17CE924853-05-00	

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
09/01/2016	08/31/2017	Annual	11/29/2017
09/01/2017	08/31/2018	Annual	11/29/2018
09/01/2018	08/31/2019	Annual	02/19/2020
09/01/2019	08/31/2020	Annual	11/29/2020

# AWARD ATTACHMENTS

Missouri Department of Health

5 NU17CE924853-05-00

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1. Terms and conditions
2. Technical Review

## AWARD INFORMATION

**Incorporation:** In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at <https://www.cdc.gov/grants/federalregulationspolicies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number **CE16-1607**, entitled **Collecting Violent Death Information Using the National Violent Death Reporting System (NVDRS)**, and application dated **May 5, 2020** as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NOA).

**Approved Funding:** Funding in the amount of **\$357,064** is approved for the **Year 05** budget period, which is **September 1, 2020** through **August 31, 2021**. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

**Financial Assistance Mechanism:** Cooperative Agreement

**Substantial Involvement by CDC:** This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

CDC will have substantial involvement with recipients. CDC activities to ensure the success of the project will include the following:

- Provide a case definition as well as documentation and descriptions of how to collect required data elements
- Provide a web-based system to enter data, to export data to the applicant, and to share data with CDC. The web-based data entry system will also support data quality by providing data summaries and implementing data entry rules, including restricting data entry to valid values
  - Provide training on how to use the web-based data entry system
- Provide applicant results from CDC monitoring and evaluation activities including updates on key performance measures, CDC data quality reports, CDC case reviews, and observations from CDC site and reverse site visits when resources are available.
- Work with applicants to solve challenges identified in evaluation and monitoring activities such as problems of missing or inaccurate data.
- Provide technical assistance in solving problems in all aspects of the system through monthly conference calls, discussions with science and project officers, maintaining help

desk for abstraction questions and questions about the web-based data entry system, and periodic applicant site or reverse site visits as resources are available.

**Budget Revision Requirement:** By **October 1, 2020** the recipient must submit a revised budget with a narrative justification. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GSM/GMO identified in the CDC Staff Contacts section of this notice before the due date.

- Supply- Provide Justification for each item
- Other cost - Provide justification for each item

**Expanded Authority:** The recipient is permitted the following expanded authority in the administration of the award.

- Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 "Remarks" of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the recipient's authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions

### **Program Income:**

Addition alternative: Under this alternative, program income is added to the funds committed to the project/program and is used to further eligible project/program objectives.

Note: The disposition of program income must have written prior approval from the GMO.

## **FUNDING RESTRICTIONS AND LIMITATIONS**

**Notice of Funding Opportunity (NOFO) Restrictions:** Restrictions that must be considered while planning the programs and writing the budget are:

- Recipients may not use funds for research.
- Recipients may not use funds for clinical care except as allowed by law.
- Recipients may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.
- Generally, recipients may not use funds to purchase furniture or equipment. Any such proposed spending must be clearly identified in the budget.
- Reimbursement of pre-award costs generally is not allowed, unless the CDC provides written approval to the recipient.
- Other than for normal and recognized executive-legislative relationships, no funds may be used for:

- publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
- the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body
- See [Additional Requirement \(AR\) 12](#) for detailed guidance on this prohibition and [additional guidance on lobbying for CDC recipients](#)
- The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible
- In accordance with the United States Protecting Life in Global Health Assistance policy, all non-governmental organization (NGO) applicants acknowledge that foreign NGOs that receive funds provided through this award, either as a prime recipient or subrecipient, are strictly prohibited, regardless of the source of funds, from performing abortions as a method of family planning or engaging in any activity that promotes abortion as a method of family planning, or to provide financial support to any other foreign non-governmental organization that conducts such activities. See Additional Requirement (AR) 35 for applicability (<https://www.cdc.gov/grants/additionalrequirements/ar-35.html>).

**Indirect Costs:** Indirect costs are approved based on the negotiated indirect cost rate agreement dated March 17, 2020, which calculates indirect costs as follows, a Provisional is approved at a rate of 20.1% of the base, which includes, Direct salaries and wages including all fringe benefits. The effective dates of this indirect cost rate are from July 1, 2020 to June 30, 2023.

## REPORTING REQUIREMENTS

**Communication Materials:** All communication materials must be submitted to the CDC Project Officer for clearance. This includes handouts, verbal scripts, flyers, brochures, questionnaires, etc.

**Performance Progress and Monitoring:** Performance information collection initiated under this grant/cooperative agreement has been approved by the Office of Management and Budget under **OMB Number 0920-1132, “Performance Progress and Monitoring Report”**, **Expiration Date 8/31/2020** The components of the PPMR are available for download at: <https://www.cdc.gov/grants/alreadyhavegrant/Reporting.html> .

**Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS):** Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations

potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services  
LaToya Donaldson, Grants Management Specialist  
Centers for Disease Control and Prevention  
Chronic Disease and Injury Prevention Branch  
2939 Brandywine Road, Mailstop E-09  
Atlanta, Georgia 30341  
Email: [ygj0@cdc.gov](mailto:ygj0@cdc.gov) (Include "Mandatory Grant Disclosures" in subject line)

AND

U.S. Department of Health and Human Services  
Office of the Inspector General  
ATTN: Mandatory Grant Disclosures, Intake Coordinator  
330 Independence Avenue, SW  
Cohen Building, Room 5527  
Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or  
Email: [MandatoryGranteeDisclosures@oig.hhs.gov](mailto:MandatoryGranteeDisclosures@oig.hhs.gov)

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

#### **PAYMENT INFORMATION**

*The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to [hhstips@oig.hhs.gov](mailto:hhstips@oig.hhs.gov) or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.*

**Payment Management System Subaccount:** Funds awarded in support of approved activities have been obligated in a newly established subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application. All award funds must be tracked and reported separately.



The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

### **CDC Staff Contacts**

**Grants Management Specialist:** The GMS is the federal staff member responsible for the day-to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

**GMS Contact:**

LaToya Donaldson, Grants Management Specialist  
Centers for Disease Control and Prevention  
Chronic Disease and Birth Defects Services Branch  
2939 Brandywine Road, Mailstop E-09  
Atlanta, Georgia 30341  
Telephone: 770-488-1227  
Email: [ygj0@cdc.gov](mailto:ygj0@cdc.gov)

**Program/Project Officer:** The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements.

**Programmatic Contact:**

Michele Laland, Project Officer  
Centers for Disease Control and Prevention  
Division of Violence Prevention  
4770 Buford Highway Chamblee GA 30341  
Telephone: 770-488-4244  
Email: [gev8@cdc.gov](mailto:gev8@cdc.gov)

**GMO Contact:**

Valencia Williams, Grants Management Officer  
Centers for Disease Control and Prevention  
Chronic Disease and Injury Prevention Branch  
2939 Brandywine Road  
Atlanta Georgia 30341  
Telephone: 404-498-3260  
Email: [yyr1@cdc.gov](mailto:yyr1@cdc.gov)

**National Center for Injury Prevention and Control  
Division of Violence Prevention/Surveillance Branch  
Technical Review**

Recipient's Name: Missouri State Department of Health & Senior Services

Award #: NU17CE924853-05                      Budget Year: FY 2020

NOFO#: CE16-1607                              Title: Collecting Violent Death Information Using the  
National Violent Death Reporting System (NVDRS)

Requested Amount: \$357,064                      Recommended Award Amount: \$357,064

Actual Unobligated Funds: \$0                      Estimated Unobligated Funds: \$0

**1. Response to Technical Review (check one):**

\_\_\_\_\_ The recipient must submit a response to the weakness(es) and recommendations identified in the technical review within 30 days from receipt date of the notice of award. **(Note: The recipient's response should be reflective only of the weaknesses identified, therefore, resubmission of the entire application is not required.)**

No response to Technical Review is required.

**2. Work-plan (check one):**

\_\_\_\_\_ Revised work-plan is required due to – (provide reason(s)): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Revised work-plan is **NOT** required.

**3. Performance (check one):**

The project officer certifies performance is satisfactory to date and continued funding is recommended.

\_\_\_\_\_ The project officer certifies performance is not fully satisfactory to date and weaknesses and recommendations should be addressed, continued funding should be restricted until attached recommendations are met.

\_\_\_\_\_ The project officer has determined performance to date has been less than satisfactory and continued funding is denied. The project officer's determination is based on below factual data as published in the announcement.

Project Officer's Name: Michele LaLand

Project Officer's Signature (mandatory): *Michele LaLand* Date: May 15, 2020

Recipient's Name: Missouri State Department of Health & Senior Services

Award #: NU17CE924853-05

Budget Year: FY 2020

**A thorough review of the annual performance report has been performed. The review considered the evaluation criteria published in the Notice of Funding Opportunity. Based on the review, the followings were identified:**

**A. Progress Report:**

**Summary of Major Strengths:**

One of the strengths of the Missouri Violent Death Reporting System (MOVDRS) is the development of a survey of all coroners and medical examiners (CMEs) so that the CMEs can provide feedback about either the participation process or the reasons they are currently unable to participate. This survey will also explore the feasibility of coroners utilizing a centralized reporting system if one were to be created. This effort is highlighted in their workplan.

MOVDRS is also designing regional, statewide, and risk-factor specific reports as data become available. In addition, MOVDRS is spearheading an effort to create a comprehensive report on the burden of injury and violence in Missouri in response to Missouri violence prevention program needs – a report showcasing the data available from the MOVDRS and Overdose Data to Action programs.

MOVDRS has designed a comprehensive workplan as well as an extensive evaluation and performance measurement plan.

**Summary of Major Weaknesses:**

None noted.

**Other Relevant Comments:**

The Program Manager (PM) has completed several presentations, including a firearms presentation to the Missouri Injury and Violence Prevention Advisory Committee and a general violent death presentation to the St. Louis Criminal Justice Coordinating Council. MOVDRS has also created an updated poster to be used at a variety of internal and external events. Most recently, the staff created an infographic specifically aimed at law enforcement officials. The remainder of planned outreach and dissemination efforts have been postponed due to Coronavirus Disease 2019 (COVID-19).

MOVDRS noted multiple challenges faced with regards to the web-based system 2.1. They reported system errors including one that made it difficult to save information abstracted from incidents.

Regarding support from CDC, MOVDRS has previously requested technical assistance from CDC to enhance their outreach efforts and approach to on-boarding new coroners and LEAs as data providers, and to work through the transition to Expanded Authority. MOVDRS noted that progress has been made in recruiting data providers, and that more work needs to be done in convincing the remaining non-participating CMEs and LEAs to provide records.

**B. New Budget Period Proposal Objectives:**

**Summary of the Project:**

MOVDRS will continue to focus on onboarding new data providers, improving the records request processes for CMEs, and improving data dissemination efforts. MOVDRS will target specific high-priority counties which have large numbers of violent deaths and are not strongly opposed to participating. The team will continue to touch base with the lower priority counties but will not push for their participation as aggressively.

**Summary of Major Strengths:**

MOVDRS plans to maintain a website to disseminate violent death data to key stakeholders throughout the state. MOVDRS will also create annual data report templates, which can be modified and used each year when the staff receive the final file each year. These templates can also be adjusted to use preliminary data or multiple years of data, as needed. These reports will often be more detailed and aimed toward a data-savvy audience. MOVDRS will also create more infographic-style reports for data dissemination that are targeted for people with less data knowledge.

**Summary of Major Weaknesses:**

None Noted

**Recommendations:**

CDC recommends that MOVDRS continue to work with CME and LE to identify and overcome barriers affecting their willingness and/or ability to provide the program with case files, reports, or records. Please keep us informed of your progress.

**Other Relevant Comments:**

The work plan was reviewed and found to be reasonable and actionable.